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TO: Commissioner for Patents  
Attn: Examiner Hoang Vu A. Nguyen  
Group Art Unit 2122  
Patent Examining Corps  
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FROM: David W. Victor

OUR REF: 0054.0037  
TELEPHONE: 310-556-7983

Total pages, including cover letter: 7

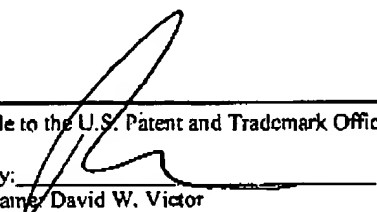
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Description of Documents Transmitted: POWER OF ATTORNEY AND  
CORRESPONDENCE ADDRESS  
INDICATION FORM; STATEMENT  
UNDER 37 CFR 3.73(B)

Applicant: H.J. Glaser et al.  
Serial No.: 09/687,412  
Filed: October 12, 2000  
Group Art Unit: 2122  
Docket No.: STL920000092US1

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on  
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By:   
Name: David W. Victor

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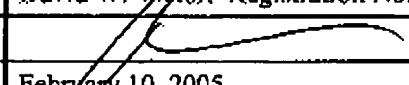
PTO/SB/21  
0054.0037

<b>TRANSMITTAL FORM</b>	Application Number	09/687,412
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	October 12, 2000
	Inventor	H.J. Glaser et al.
	Group Art Unit	2122
	Examiner Name	Hoang Vu A. Nguyen
Total Number of Pages in this Submission: 6	Attorney Docket Number	STL920000092US1

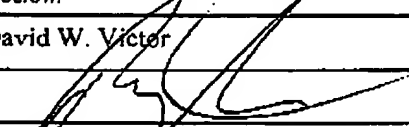
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FEB 10 2005**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Copy of Notice of Recordation of Assignment (dated 10/12/2000) <input type="checkbox"/> Formal Drawings: ___ sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney and Correspondence Address Indication Form <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___ <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Certificate of Correction of Applicant's Mistake (37 CFR 1.323) <input type="checkbox"/> Certificate of Correction of Office Mistake (37 CFR 1.322) <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Fee Address Indication Form <input checked="" type="checkbox"/> Statement Under 37 CFR 3.73 (b)
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name:	David W. Victor. Registration No. 39,867
Signature:	
Date:	February 10, 2005
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	
<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0460	

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.		
Typed or Printed name:	David W. Victor	Customer No. 47069
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	H.J. Glaser et al.	Examiner	2122
Serial No.	09/687,412	Group Art Unit	Hoang Vu A. Nguyen
Filed	October 12, 2000	Docket No.	STL920000092US1
TITLE	METHOD, SYSTEM, COMPUTER PROGRAM PRODUCT, AND ARTICLE OF MANUFACTURE FOR UPDATING A COMPUTER PROGRAM ACCORDING TO A STORED CONFIGURATION		

**POWER OF ATTORNEY AND  
CORRESPONDENCE ADDRESS INDICATION FORM**

I hereby revoke all previous powers of attorney given in the above-identified application.

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**47069**

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to the address associated with the above-mentioned Customer Number.

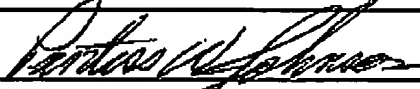
I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. (Statement under 37 CFR 3.73(b) is

enclosed)

**SIGNATURE of Applicant or Assignee of Record**

Signature:		Date:	2-10-05
Name	Prentiss W. Johnson	Title	Senior Attorney
Company	International Business Machines Corporation		
<p>Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.</p>			
<p><input type="checkbox"/> *Total of _____ forms are submitted.</p>			

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FEB 10 2005STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: International Business Machines CorporationApplication No./Patent No.: 09/687,412Filed/Issue Date: October 12, 2000

Entitled: METHOD, SYSTEM, COMPUTER PROGRAM PRODUCT, AND ARTICLE OF MANUFACTURE FOR UPDATING A COMPUTER PROGRAM ACCORDING TO A STORED CONFIGURATION

International Business Machines Corporation  
(Name of Assignee)Corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011281, Frame 0988, or for which a copy thereof is attached.

OR

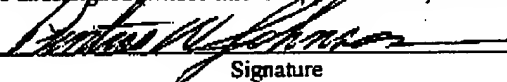
B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

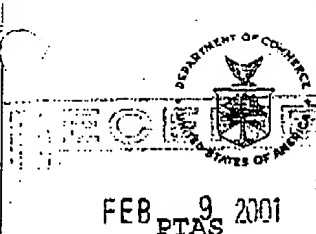
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☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature2-9-05  
DatePrentiss W. Johnson  
Printed or Typed Name408-463-5673  
Telephone NumberSenior Attorney - IBM Silicon Valley Lab  
Title



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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FEBRUARY 02, 2001

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SAN JOSE, CA 95141-1003



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RECORDATION DATE: 10/12/2000

REEL/FRAME: 011281/0988  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GLASER, HOWARD J.

DOC DATE: 10/12/2000

ASSIGNOR:  
ENGLAND, LAURENCE E.

DOC DATE: 10/12/2000

ASSIGNOR:  
POOLE, REBECCA LAU

DOC DATE: 10/12/2000

ASSIGNOR:  
XIA, CHENHONG

DOC DATE: 10/12/2000

ASSIGNEE:  
INTERNATIONAL BUSINESS MACHINES  
CORPORATION  
NEW ORCHARD ROAD  
ARMONK, NEW YORK 10504SERIAL NUMBER: 09687412  
PATENT NUMBER:FILING DATE: 10/12/2000  
ISSUE DATE:**BEST AVAILABLE COPY**

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011281/0988 PAGE 2

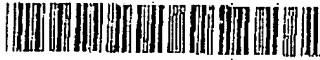
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DOCKET: STL920000092US1

US Department of Commerce  
Trademark Office

10/12/00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Howard J. Glaser  
Laurence E. England  
Rebecca Lau Poole  
Chenhong Xia

## 2. Name and address of receiving party(ies):

Name: International Business  
Machines CorporationStreet Address: New Orchard Road  
City: Armonk State: NY ZIP: 1059/687412  
12913 U.S. PROAdditional name(s) of conveying  
party(ies) attached Yes XX NoAdditional name(s) & address(es) attached?  
Yes XX No

## 3. Nature of conveyance:

XX Assignment

Execution Date: 9/687412

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
IBM Docket # STL920000092US1

B. Patent No.(s)

Additional numbers attached? Yes XX No

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Name: IBM Corporation  
Internal Prentiss Wayne Johnson  
Address: Intellectual Property Law  
Street  
Address: 555 Bailey Avenue  
San Jose, CA 95141-10036. Total Number of applications and patents  
involved: One7. Total fee (37 CFR 3.41) \$ 40.00  
Enclosed  
XX Authorized to be charged to  
deposit account

8. Deposit Account Number: 09-0460

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.

Prentiss Wayne Johnson

Date: October 12, 2000

Total number of pages including cover sheet, attachments, and document: 12

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Page 1 of 1

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ASSIGNMENT

Docket No. STL920000092US1

INVENTOR,  
AND CITY

(1) Howard J. Glaser  
County of Santa Clara  
and (2) Laurence E. England  
County of Santa Clara  
and (3) Rebecca Lau Poole  
County of Santa Clara  
and (4) Chenhong Xia  
County of Santa Clara

Whereas, we

of San Jose  
and State of California,  
of Morgan Hill,  
and State of California,  
of San Jose,  
and State of California,  
of San Jose,  
and State of California

have invented certain improvements in

TITLE METHOD, SYSTEM, COMPUTER PROGRAM PRODUCT, AND ARTICLE OF MANUFACTURE FOR UPDATING A COMPUTER PROGRAM ACCORDING TO A STORED CONFIGURATION

DATES THAT  
INVENTORS  
SIGNED THE

and executed, respectively, a United States patent application therefor on  
(1) 10/12, 2000, (2) 10/12, 2000, (3) October 12, 2000,  
DECLARATION (4) 10/12, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE

(1) at San Jose  
on 10/12, 2000

Howard J. Glaser

SIGNATURE  
INVENTORCITY  
DATE

and (2) at San Jose  
on 10/12, 2000,

Laurence E. England

SIGNATURE  
INVENTORCITY  
DATE

and (3) at San Jose  
on October 12, 2000,

Rebecca Lau Poole

SIGNATURE  
INVENTORCITY  
DATE

and (4) at San Jose  
on 10/12, 2000

Chenhong Xia

SIGNATURE  
INVENTOR

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